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## YORKSHIRE ROYALIST COMPOSITION PAPERS OR THE PROCEEDINGS OF THE COMMITTEE FOR COMPOUNDING WITH DELINQUENTS DURING THE COMMONWEALTH VOLUME 18



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RareBooksClub. Paperback. Book Condition: New. This item is printed on demand. Paperback. 58 pages. Original publisher: Washington, D. C. : U. S. Securities and Exchange Commission, Office of Inspector General, Office of Audits, 2010 OCLC Number: (OCoLC)730237503 Subject: Securities industry -- Protection -- United States. Excerpt: . . . Findings and Recommendations Finding 1: The SEC Network Vulnerability Assessment Results Showed Numerous Missing Vendor Issued Security Patches and Updates Critical patches and updates released by software vendors for vulnerabilities known to be exploitable have not been applied to the SEC network, which could jeopardize the confidentiality, integrity, and availability of PII or sensitive data. As a result, the network is vulnerable to compromise by known threats. During the NVA of LARO, in June 2010, and SP and OPC, in July 2010, C5i found that critical patches issued by software vendors to correct known vulnerabilities had not been applied in a timely and effective manner. Applying these critical patches would remediate or mitigate the likelihood of exploitation of a vulnerability. Consequently, C5i found the SECs network to be vulnerable to well-known weaknesses identified by vendors, and that it could be compromised by a malicious user, resulting in a significant data breach and possible exploitation of PII or sensitive data. The selection of C5is assessment locations was based on the current OIT schedule of field offices and population of staff. The LARO location provided the OIG with a view into the security posture of a field offices network that had not been assessed by OIT in the past two years, and was not scheduled for an OIT assessment until 2011. SP and OPC offices were selected due to the large amount of network servers located at these facilities. During the assessments, C5i used a number of commercial off-the-shelf and open source vulnerability...



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